

VIA EXPRESS MAIL NO. EV268060997US  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): William G. Barrus, et al.

Application No.: Not yet Assigned

Filing Date: Herewith

Entitled: COOPERATIVE SPAM  
CONTROL

Docket No.: BOC9-2003-0104 (1082-25U)

Group Art Unit: Not yet Assigned

Examiner: Not yet Assigned

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22312-1450

Dear Sir:

It is desired to cite for the record in this application the enclosed articles, United States and foreign patents listed on the attached copy of Form PTO/SB/08A. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

The attached Form PTO/SB/08A provides a listing of information which may be relevant to the subject application. This Information Disclosure Statement is not intended as a representation that better art is not available, or that the information provided is prior art.

**[ X ] (1) The enclosed Information Disclosure Statement is being filed within three months of the filing date or within three months of the date of entry of the national stage of the above-identified application. Accordingly, Applicant(s) believes that no fee or certification is required.**

[ ] (1a) The enclosed Information Disclosure Statement is being filed before the mailing of a first Office action on the merits under 37 C.F.R. §1.97(b)(3); or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. Accordingly, Applicant(s) believes that no fee or certification is required.

[ ] (1b) Pursuant to 37 C.F.R. §1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in § 1.17(p).

[ ] (2) Pursuant to 37 C.F.R. § 1.97(d), Applicant states that the issue fee has not been paid and that a certification under 37 C.F.R. §1.97(e) is provided herein, along with the fee of \$180.00 required under 37 C.F.R. §1.17(p).

**CERTIFICATION UNDER 37 C.F.R. §1.97(e) (1)**

[ ] (3) The undersigned hereby certifies that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of this statement.

CERTIFICATION UNDER 37 C.F.R. §1.97(e) (2)

[ ] (4) The undersigned hereby certifies that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual having a duty of disclosure as set forth in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not representation by the undersigned as to the personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant does not necessarily adopt the position reflected by that report.

It is respectfully requested that the enclosed references be considered by the Examiner and that a copy of the enclosed Form PTO/SB/08A be initialed and returned to us indicating that such information has been considered.

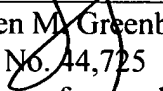
Application No.: Not yet Assigned  
Filing Date: Herewith  
Atty. Docket No. 1082-25U

The Commissioner is hereby authorized to credit overpayments or charge payment of any additional fees associated with this communication to Deposit Account No. 502104.

Respectfully submitted,

Dated: April 2, 2004

By:



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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				<b>Application Number</b>	Not yet Assigned
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	William G. Barrus, et al.
				<b>Art Unit</b>	Not yet Assigned
				<b>Examiner Name</b>	Not yet Assigned
<b>Sheet</b>	1	<b>of</b>	2	<b>Attorney Docket Number</b>	BOC9-2003-0104 (1082-25U)
<b>U.S. PATENT DOCUMENTS</b>					
<b>Examiner Initials *</b>	<b>Cite No. <sup>1</sup></b>	<b>Document Number</b>  Number- Kind Code <sup>2</sup> <i>(if known)</i>	<b>Publication Date</b> MM-DD-YYYY	<b>Name of Patentee or Applicant of Cited Document</b>	<b>Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear</b>
		US-6,092,101	07/18/2000	A.D. Birrell, et al.	
		US-2002/0023135 A1	02/21/2002	B.M. Shuster	
		US-6,453,327 B1	09/17/2002	J. Nielsen	
		US-2002/0143885 A1	10/03/2002	R.C. Ross, Jr.	
		US-6,480,885 B1	11/12/2002	M. Olivier	
		US-2002/0169840 A1	11/14/2002	V.D. Sheldon, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> Kind Code <sup>5</sup> <i>(if known)</i>				
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP901.04. <sup>3</sup>

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	Not yet Assigned
				Filing Date	Herewith
				First Named Inventor	William G. Barrus, et al.
				Group Art Unit	Not yet Assigned
				Examiner Name	Not yet Assigned
Sheet	2	of	2	Attorney Docket Number	BOC9-2003-0104 (1082-25U)
<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
		LONEY, Matt, <i>War On Spam Turns To Open Proxies</i> , ZDNet UK, January 02, 2004, Copyright © 2003 CNET Networks, Inc. <a href="http://news.zdnet.co.uk/">http://news.zdnet.co.uk/</a> (visited March 7, 2004)			
		Author Unknown, <i>What Is The CBL?</i> , CBL Composite Clocking List, Updated 7/22/03, <a href="http://cbl.abuseat.org/">http://cbl.abuseat.org/</a> (visited March 7, 2004)			
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.